



2181  
#3 SP  
6-05-03  
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Seneka Balasuriya  
Serial No.: 10/034,572  
Filing Date: December 28, 2001

Examiner:  
Art Unit: 2181  
Our File No.: 33692.01.0024  
Docket No.: 33692.01.0024

Title: **MULTIMODAL COMMUNICATION METHOD AND APPARATUS WITH MULTIMODAL PROFILE**

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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*May 21, 2003*  
*Date* *Winona Jackson*

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**IN ACCORDANCE WITH 37 CFR §§ 1.97(C) AND 1.98**

Pursuant to 37 CFR §§ 1.97(C) and 1.98, Applicants respectfully submit the following statement prior to issuance of a first office action consisting of:

1. A list of documents; and
2. General remarks.

A copy of the listed documents are enclosed herewith along with Form PTO/SB/08A.

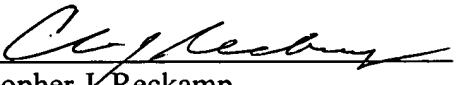
1. Documents
  - a. U.S. Patent No. 6,405,040, issued May 11, 2002 (Liu et al);
  - b. U.S. Patent No. 6,104,712, issued August 15, 2000 (Robert et al);
  - c. U.S. Patent No. 6,018,711, issued January 25, 2000 (French-St. George et al);
  - d. U.S. Patent No. 6,249,808, issued June 19, 2001 (Seshadri);
  - e. U.S. Patent No. 6,199,045, issued March 6, 2001 (Giniger et al); and
  - f. Copy of PCT Search Report, dated 16 April 2003.

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2. General Remarks

The submission of the above documents are not an admission that the information is prior art, analogous or otherwise material. It is respectfully requested that the above-listed documents be considered and made of record in the present application.

Respectfully submitted,

By:   
Christopher J. Reckamp  
Registration No. 34,414

Date: May 21, 2003

VEDDER, PRICE, KAUFMAN &  
KAMMHOLZ  
222 N. LaSalle Street  
Chicago, IL 60601  
(312) 609-7870  
FAX: (312) 609-5005



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PTO/SB/21 (01-03)

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/034,572

Filing Date

12/28/01

First Named Inventor

Seneka Balasuriya

Art Unit

2181

Examiner Name

Attorney Docket Number

33692.01.0024

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### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): IDS Supplement; and Return Receipt Postcard.
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual Christopher J. Reckamp  
Reg. No. 34,414

Signature

Date 05/21/03

### CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 05/21/03

Typed or printed Winona Jackson

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**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

**(Use as many sheets as necessary)**

Sheet 2 of 12

Complete if Known	
Application Number	10/034,572
Filing Date	12/28/01
First Named Inventor	Seneke Balasubramanian
Art Unit	2181
Examiner Name	
Attorney Docket Number	33692.01.0024

A rectangular stamp with rounded corners. The word "TECHNOLOGY" is curved along the top edge. The date "MAY 29 2008" is stamped in the center. The word "LIBRARY" is curved along the bottom edge.

## **U. S. PATENT DOCUMENTS**

## **FOREIGN PATENT DOCUMENTS**

<b>Examiner Signature</b>		<b>Date Considered</b>	
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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup> Applicant's unique citation designation number (optional). <sup>3</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>4</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>7</sup> Applicant is to place a check mark here if English language Translation is attached.

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<b>First Named Inventor</b>	Seneke Balasuriya
<b>Art Unit</b>	2181
<b>Examiner Name</b>	
<b>Attorney Docket Number</b>	33692.01.0024

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## **OTHER PRIOR ART-NON PATENT LITERATURE DOCUMENTS**

<b>Examiner Signature</b>		<b>Date Considered</b>	
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**Applicant's unique citation designation number (optional). –** Applicant is to place a check mark next to English language translation if desired. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

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